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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

09/913,695

Filing Date

August 16, 2001

First Named Inventor

Niels Rump

Group Art Unit

Unknown

Examiner Name

Unknown

Total Number of Pages in This Submission

5

Attorney Docket Number

13189.136

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Supplement To Statement Of Facts filed 11/09/2001
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	It is believed that the attached Supplement To Statement Of Facts will clarify the actions undertaken in the attempt to locate the inventor Niels Rump.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

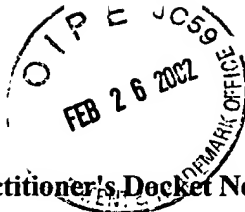
Firm or Individual name	Carl A. Forest; Patton Boggs LLP
Signature	
Date	12/19/01

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 12/19/2001

Typed or printed name	Elaine C. VonSpreckelsen
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Practitioner's Docket No. 15109.136

CHAPTER II

IN THE UNITED STATES ELECTED OFFICE (EO/US)

PCT/EP99/09977	15 December 1999 (15.12.99)	16 February 1999 (16.02.99)
International Application Number	International Filing Date	International Earliest Priority Date

U.S. Application Serial No.: 09/913,695

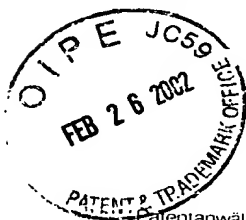
Filing Date: 16 Aug 2001

TITLE OF INVENTION: METHOD AND DEVICE FOR GENERATING AN ENCRYPTED
USER DATA STREAM AND METHOD AND DEVICE FOR
PLAYING BACK AN ENCRYPTED USER DATA STREAM
APPLICANT(S): Rump, Niels; Koller, Juergen and Brandenburg, Karlheinz

SUPPLEMENT TO STATEMENT OF FACTS

Following are the details of the efforts to reach inventor Niels Rump:

1. A letter was mailed to Niels Rump at his last known address of Brückenstrasse 13, D-91056 Erlangen on June 27, 2001, which included a Combined Declaration And Power Of Attorney and Assignment Of Invention. A copy of the letter is attached. The package was returned by mail stating "Address Unknown". A copy the labeled envelope is also attached.
2. On July 2, 2001, a letter was mailed addressed to the family of Mr. Rump at the same address. This letter was returned by mail also stating "Address Unknown". Copies of the letter and the labeled envelope are attached.
3. A request has been submitted with the residence registration office in Erlangen in an attempt to locate Mr. Niels Rump. The request revealed nothing else as the last known address in Erlangen cited above.
4. Finally, a request was submitted with the telephone inquiry office which revealed that Mr. Niels Rump was not registered in Erlangen.



Patentanwälte · Postfach 710867 · 81458 München

Herrn
Niels Rump
Brückenstr. 13

D-91056 Erlangen

PATENTANWÄLTE

European Patent Attorneys
European Trademark Attorneys

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e-mail: szsz_iplaw@t-online.de

27. Juni 2001

Ihre internationale Patentanmeldung PCT/EP99/09977

Regionale Phase 99964581.5 vor dem EPA

Titel: Verfahren und Vorrichtung zum Erzeugen eines ...

FhG-Zeichen: 99/35054-IIS-A - Unser Zeichen: FH991204PEP/dg

Sehr geehrter Herr Rump,

am 09. Februar 2001 hat mich Herr Herre schriftlich beauftragt
die regionale Phase für folgende Staaten einzuleiten:

Deutschland, Österreich, Schweiz/Liechtenstein und Großbritannien.

Bezüglich der zur Einleitung der regionalen Phase beim EPA
eingereichten Unterlagen möchte ich Sie darauf hinweisen, daß
diese auf der Grundlage der ursprünglich eingereichten Unter-
lagen basieren. Es wurden lediglich noch formale Änderungen
eingereicht.

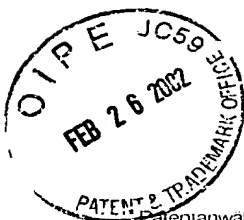
Eine Kopie der Anmeldung liegt für Ihre Akte bei.

Mit freundlichen Grüßen


Fritz Schoppe

Anlage

Eingereichte Unterlagen



Patentanwälte · Postfach 710867 · 81458 München

Familie RUMP
Brückenstrasse 13

D-91056 Erlangen

PATENTANWÄLTE

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e-mail: szsz_iplaw@t-online.de

02. Juli 2001

Unsere Zeichen: FH991204PUS und FH991204PEP/dg

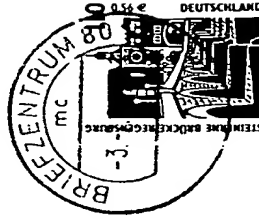
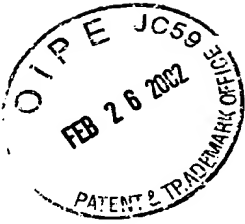
Sehr geehrte Familie Rump,

da wir die an Herrn Niels Rump übersandten Unterlagen zurückgeklagt haben, möchten wir Sie bitten, uns seine neue Adresse bzw. Telefon- oder Faxnummer mitzuteilen.


Ich bedanke mich für Ihre Hilfe.

Mit freundlichen Grüßen


Fritz Schoppe



Münchner
pern-
Festspiele
24.6.-31.7.
2001
www.staatstheater.de

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Patentanwalte · Postfach 710667 · 81458 München

Familie RUMP

Brückenstrasse 13

D-91056 Erlangen

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Niels Rump, Juergen Koller, Karlheinz Brandenburg

For: METHOD AND DEVICE FOR GENERATING AN ENCRYPTED USER DATA STREAM AND
METHOD AND DEVICE FOR PLAYING BACK AN ENCRYPTED USER DATA STREAM

The specification of which was filed on August 16, 2001, as Application No. 09/913,695.

**STATEMENT OF FACTS IN SUPPORT OF FILING
ON BEHALF OF NONSIGNING INVENTOR (37 C.F.R. SECTION 1.47)**

This statement is made as to the exact facts that are relied upon to establish the diligent effort made to secure the execution of the declaration by the nonsigning inventor for the above identified patent application before deposit thereof in the Patent and Trademark Office.

Because signing on behalf of the nonsigning inventor is by a person or entity showing a sufficient proprietary interest, this statement also recites facts as to why this action was necessary to preserve the rights of the parties or to prevent irreparable damage.

This statement is being made by the available person having first-hand knowledge of the facts recited therein.

IDENTIFICATION OF PERSON MAKING THIS STATEMENT OF FACTS

Fritz Schoppe
Schoppe, Zimmermann, Stöckeler & Zinkler
Patentanwälte
Irmgardstrasse 22
München D-81479
Germany

**EFFORTS DURING CONVENTION YEAR TO PREPARE APPLICATION AND
OBTAIN INVENTOR'S SIGNATURE**

See attached sheet

LAST KNOWN ADDRESS OF THE NONSIGNING INVENTOR

Niels Rump
Brueckenstrasse 13
Erlagen D-91056
Germany

DETAILS OF EFFORTS TO REACH NONSIGNING INVENTOR

See attached sheet


**DETAILS OF REFUSAL OF NONSIGNING INVENTOR
TO SIGN APPLICATION PAPERS**

N/A

**PROOF OF NEED TO PREVENT IRREPARABLE DAMAGE
OR PRESERVE THE RIGHTS OF THE PARTIES**

The National Phase filing date in the United States Patent Office of PCT Application PCT/EP99/09977 expired August 16, 2001. The application was filed with no signatures. The nonsigning inventor still cannot be found.

Date: September 24, 2001



Signature of person making statement